

Application for Federal Assistance SF-424

Version 02

*1. Type of Submission		*2. Type of Application		*If Revision, select appropriate letter(s):	
<input type="checkbox"/> Preapplication		<input checked="" type="checkbox"/> New			
<input checked="" type="checkbox"/> Application		<input type="checkbox"/> Continuation		* Other (Specify)	
<input type="checkbox"/> Changed/Corrected Application		<input type="checkbox"/> Revision			
*3. Date Received:		4. Application Identifier:			
5a. Federal Entity Identifier:			*5b. Federal Award Identifier:		
State Use Only:					
6. Date Received by State:			7. State Application Identifier:		
8. APPLICANT INFORMATION:					
* a. Legal Name: NORTHWEST INDIAN FISHERIES COMMISSION					
* b. Employer/Taxpayer Identification Number (EIN/TIN): 91-0930238			*c. Organizational DUNS: 060044393		
d. Address:					
*Street1: 6730 Martin Way East					
Street 2:					
*City: Olympia					
County: Thurston					
*State: Washington					
Province:					
Country: United States			*Zip/ Postal Code: 98516		
e. Organizational Unit:					
Department Name:			Division Name:		
f. Name and contact information of person to be contacted on matters involving this application:					
Prefix: Mr.		First Name: Terry			
Middle Name:					
*Last Name: Wright					
Suffix:					
Title: Assistant Director of Fishery Programs					
Organizational Affiliation:					
*Telephone Number: 360.528.4336			Fax Number: 360.753.8659		
*Email: twright@nwifc.org					

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9. Type of Applicant 1: Select Applicant Type: K. Indian/Native American Tribally Designated Organization

Type of Applicant 2: Select Applicant Type:

- Select One -

Type of Applicant 3: Select Applicant Type:

- Select One -

*Other (specify):

*10. Name of Federal Agency:

Environmental Protection Agency

11. Catalog of Federal Domestic Assistance Number:

66.121

CFDA Title:

Puget Sound Protection and Restoration: Tribal Implementation Assistance Program

*12. Funding Opportunity Number: EPA-R10-PS-106

*Title: Tribal Projects to Implement the Puget Sound Action Agenda

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Puget Sound Region

*15. Descriptive Title of Applicant's Project:

NWIFC Implementation of the Puget Sound Partnership Action Agenda

Attach supporting documents as specified in agency instructions.

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16. Congressional Districts Of:

*a. Applicant 9

*b. Program/Project: 1-3, 6-9

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

*a. Start Date: 1/01/2011

*b. End Date: 09/30/2015

18. Estimated Funding (\$):

*a. Federal	\$18,000,000.00	*d. Local	
*b. Applicant		*e. Other	
*c. State		*f. Program Income	
*d. Local		*g. TOTAL	\$18,000,000.00

*19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372

*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

☒ **I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr. *First Name: Michael

Middle Name:

*Last Name: Grayum

Suffix:

*Title: Executive Director

*Telephone Number: 360.438.1180

Fax Number: 360.753.8659

*Email: mgrayum@nwifc.org

*Signature of Authorized Representative:

Justin R. Parker Date Signed: 12/17/10
for

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*Applicant Federal Debt Delinquency Explanation

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Puget Sound	66.121	\$	\$	\$ 18,000,000.00	\$	\$ 18,000,000.00
2.						
3.						
4.						
5. Totals		\$	\$	\$ 18,000,000.00	\$	\$ 18,000,000.00
SECTION B - BUDGET CATEGORIES						
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1)	(2)	(3)	(4)		
a. Personnel	363,519.00				363,519.00	
b. Fringe Benefits	113,653.26				113,653.26	
c. Travel	12,000.00				12,000.00	
d. Equipment	0.00				0.00	
e. Supplies	6,115.99				6,115.99	
f. Contractual	75,000.00				75,000.00	
g. Construction	0.00				0.00	
h. Other	17,176,239.93				17,176,239.93	
i. Total Direct Charges (sum of 6a-6h)	572,688.25				572,688.25	
j. Indirect Charges	253,471.82				253,471.82	
k. TOTALS (sum of 6i and 6j)	\$ 18,000,000.00	\$	\$	\$	\$ 18,000,000.00	
7. Program Income	\$	\$	\$	\$	\$	

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Prescribed by OMB Circular A-102

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SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.				\$	
9.				\$	
10.				\$	
11.				\$	
12. Total (SUM OF LINES 8-11)				\$	

SECTION D - FORECASTED CASH NEEDS					
13. Federal	Total for 1 st Year	1 st Quarter	2 nd Quarter	3 rd Quarter	4 th Quarter
	\$ 3,000,000.00	\$ 1,464,755.82	\$ 1,461,703.29	\$ 27,754.19	\$ 45,786.70
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	\$ 3,000,000.00	\$ 1,464,755.82	\$ 1,461,703.29	\$ 27,754.19	\$ 45,786.70

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16. PS Protection/Restoration: Tribal Implementation	\$ 3,000,000.00	\$ 3,000,000.00	\$ 3,000,000.00	\$ 3,000,000.00	
17.					
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$ 3,000,000.00	\$ 3,000,000.00	\$ 3,000,000.00	\$ 3,000,000.00	

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	22. Indirect Charges:
23. Remarks:	

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ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- | | |
|--|---|
| <ol style="list-style-type: none"> 1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application. 2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives. 3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain. 4. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain. 5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F). 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), which prohibits discrimination on the | <ol style="list-style-type: none"> basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application. 7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases. 8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds. |
|--|---|

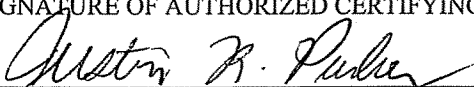
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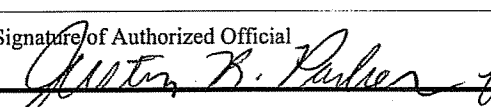
Prescribed by OMB Circular A-102

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<p>9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. 276a to 276a-7), the Copeland Act (40 U.S.C. 276c and 18 U.S.C. 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333), regarding labor standards for federally-assisted construction subagreement.</p> <p>10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.</p> <p>11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in flood plains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).</p>		<p>12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. 1271 et seq.) Related to protecting components or potential components of the national wild and scenic rivers system.</p> <p>13. Will assist the awarding agency in assuring compliance will Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).</p> <p>14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.</p> <p>15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) Pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.</p> <p>16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. 4801 et seq.) Which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.</p> <p>17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."</p> <p>18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.</p>
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Executive Director	
APPLICANT ORGANIZATION NW Indian Fisheries Commission		DATE SUBMITTED 12/17/2010

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Preaward Compliance Review Report for All Applicants and Recipients Requesting EPA Financial Assistance <small>Note: Read instructions on other side before completing form.</small>		
I. Applicant/Recipient (Name, Address, State, Zip Code). NW Indian Fisheries Commission, 6730 Martin Way E, Olympia, WA 98516	DUNS No. 06-004-4393	
II. Is the applicant currently receiving EPA assistance? Yes		
III. List all civil rights lawsuits and administrative complaints pending against the applicant/recipient that allege discrimination based on race, color, national origin, sex, age, or disability. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.) None		
IV. List all civil rights lawsuits and administrative complaints decided against the applicant/recipient within the last year that allege discrimination based on race, color, national origin, sex, age, or disability and enclose a copy of all decisions. Please describe all corrective action taken. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.) None		
V. List all civil rights compliance reviews of the applicant/recipient conducted by any agency within the last two years and enclose a copy of the review and any decisions, orders, or agreements based on the review. Please describe any corrective action taken. (40 C.F.R. § 7.80(c)(3)) None		
VI. Is the applicant requesting EPA assistance for new construction? If no, proceed to VII; if yes, answer (a) and/or (b) below. <div style="display: flex; justify-content: space-between;">Yes✓ No</div>		
a. If the grant is for new construction, will all new facilities or alterations to existing facilities be designed and constructed to be readily accessible to and usable by persons with disabilities? If yes, proceed to VII; if no, proceed to VI(b). <div style="display: flex; justify-content: space-between;">YesNo</div>		
b. If the grant is for new construction and the new facilities or alterations to existing facilities will not be readily accessible to and usable by persons with disabilities, explain how a regulatory exception (40 C.F.R. § 7.70) applies. <div style="display: flex; justify-content: space-between;">YesNo</div>		
VII.* Does the applicant/recipient provide initial and continuing notice that it does not discriminate on the basis of race, color, national origin, sex, age, or disability in its programs or activities? (40 C.F.R. § 5.140 and § 7.95) ✓ Yes No		
a. Do the methods of notice accommodate those with impaired vision or hearing? ✓ Yes No		
b. Is the notice posted in a prominent place in the applicant's offices or facilities or, for education programs and activities, in appropriate periodicals and other written communications? ✓ Yes No		
c. Does the notice identify a designated civil rights coordinator? ✓ Yes No		
VIII.* Does the applicant/recipient maintain demographic data on the race, color, national origin, sex, age, or handicap of the population it serves? (40 C.F.R. § 7.85(a)) No		
IX.* Does the applicant/recipient have a policy/procedure for providing access to services for persons with limited English proficiency? (40 C.F.R. Part 7, E.O. 13166) Yes		
X.* If the applicant/recipient is an education program or activity, or has 15 or more employees, has it designated an employee to coordinate its compliance with 40 C.F.R. Parts 5 and 7? Provide the name, title, position, mailing address, e-mail address, fax number, and telephone number of the designated coordinator. Wendy Bowman, Human Resources, 360.528.4341		
XI* If the applicant/recipient is an education program or activity, or has 15 or more employees, has it adopted grievance procedures that assure the prompt and fair resolution of complaints that allege a violation of 40 C.F.R. Parts 5 and 7? Provide a legal citation or Internet address for, or a copy of, the procedures. Yes		
For the Applicant/Recipient I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law. I assure that I will fully comply with all applicable civil rights statutes and EPA regulations.		
A. Signature of Authorized Official 	B. Title of Authorized Official Executive Director	C. Date 12/17/2010
For the U.S. Environmental Protection Agency I have reviewed the information provided by the applicant/recipient and hereby certify that the applicant/recipient has submitted all preaward compliance information required by 40 C.F.R. Parts 5 and 7; that based on the information submitted, this application satisfies the preaward provisions of 40 C.F.R. Parts 5 and 7; and that the applicant has given assurance that it will fully comply with all applicable civil rights statutes and EPA regulations.		
A. Signature of Authorized EPA Official	B. Title of Authorized EPA Official	C. Date
See ** note on reverse side.		

EPA Project Control Number

CERTIFICATION REGARDING LOBBYING

CERTIFICATION FOR CONTRACTS, GRANTS, LOANS AND COOPERATIVE AGREEMENTS

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

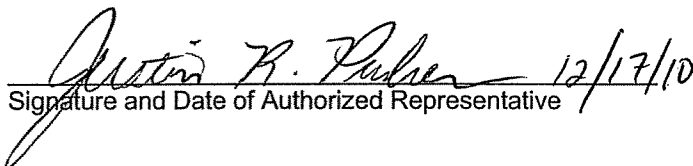
(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31 U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

for Michael Grayum, Executive Director

Typed Name & Title of Authorized Representative

 12/17/10

Signature and Date of Authorized Representative



KEY CONTACTS FORM

Authorized Representative: *Original awards and amendments will be sent to this individual for review and acceptance, unless otherwise indicated.*

Name: Michael Grayum
 Title: Executive Director
 Complete Address: Northwest Indian Fisheries Commission
6730 Martin Way East, Olympia, WA 98516
 Phone Number: 360.438.1180

Payee: *Individual authorized to accept payments.*

Name: Lucy Yanez
 Title: Contracts Specialist
 Mail Address: Northwest Indian Fisheries Commission
6730 Martin Way East, Olympia, WA 98516
 Phone Number: 360.528.4328

Administrative Contact: *Individual from Sponsored Program Office to contact concerning administrative matters (i.e., indirect cost rate computation, rebudgeting requests etc.)*

Name: Lucy Yanez
 Title: Contracts Specialist
 Mailing Address: Northwest Indian Fisheries Commission
6730 Martin Way East, Olympia, WA 98516
 Phone Number: 360.528.4328
 FAX Number: 360.753.8659
 E-Mail Address: lyanez@nwifc.org

Principal Investigator: *Individual responsible for the technical completion of the proposed work.*

Name: Terry Wright
 Title: Assistant Director of Fishery Programs
 Mailing Address: Northwest Indian Fisheries Commission
6730 Martin Way East, Olympia, WA 98516
 Phone Number: 360.528.4336
 FAX Number: 360.753.8659
 E-Mail Address: twright@nwifc.org
 Web URL: www.nwifc.org

NOV 12 2010



United States Department of the Interior
National Business Center
ACQUISITION SERVICES DIRECTORATE
Indirect Cost Services
2180 Harvard Street, Suite 430
Sacramento, CA 95815



November 8, 2010

Mr. Justin R. Parker, Director of Administration
Northwest Indian Fisheries Commission
6730 Martin Way E.
Olympia, Washington 98516-5540

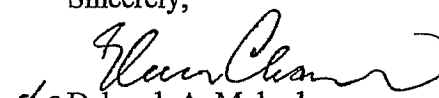
Dear Mr. Parker:

On the basis of our review of the indirect cost rate proposal submitted by the Northwest Indian Fisheries Commission for fiscal year (FY) ending September 30, 2011, and subject to the conditions contained in the enclosed negotiation agreement, we are prepared to approve a fixed carryforward rate of 44.26 percent for FY 2011. This rate is based on total direct costs, less capital expenditures and passthrough funds. The results of our review are summarized in the enclosed Supplements. If you agree with the contents, **please sign and return the two copies** of the Indirect Cost Negotiation Agreement to us to complete the negotiation process. I will then sign and return one copy to you.

You must submit a new indirect cost rate proposal to obtain an approved rate for FY 2012. This proposal, which is due in our office before April 1, 2011, may be based on actual costs, budgetary data, or a combination of these data. Your proposal requesting a rate for FY 2012 must include a carryforward computation for FY 2010 based on and reconcilable to financial statements that meet the requirements of the Single Audit Act of 1984, Public Law 98-502, as amended. In addition, the data used in the "Indirect Cost Collections" column must also be reconcilable to the financial statements. For additional information on how to prepare indirect cost proposals, please visit our Web site at <http://www.aqd.nbc.gov/ics>.

If you have any questions concerning the agreement or this letter, please write or call Ms. Maria Nua, Program Analyst, at (916) 566-7111.

Sincerely,


Deborah A. Moberly
Indirect Cost Coordinator

Enclosures: Supplements and Negotiation Agreement

Ref: J: Northwest/Nifcw119/Nifc-Na.11

Phone (916) 566-7111

Fax (916) 566-7110

E-mail ICS@nbc.gov

Internet <http://www.aqd.nbc.gov/ics>

Revised 9/20/10

**Indian Organizations
Indirect Cost Negotiation Agreement**

EIN: 91-0930238

Organization:

Northwest Indian Fisheries Commission
6730 Martin Way E.
Olympia, Washington 98516-5540

Date:

Report No(s) .:

Filing Ref.:

Last Negotiation Agreement
dated November 2, 2009

The indirect cost rate contained herein is for use on grants, contracts, and other agreements with the Federal Government to which Public Law 93-638 and 2 CFR 225 (OMB Circular A-87) apply, subject to the limitations contained in 25 CFR 900 and in Section II.A. of this agreement. The rate was negotiated by the U.S. Department of the Interior, National Business Center, and the subject organization in accordance with the authority contained in 2 CFR 225.

Section I: Rate

Type	Effective Period		Rate*	Locations	Applicable To
	From	To			
Fixed Carryforward	10/01/10	09/30/11	44.26%	All	All Programs

*Base: Total direct costs, less capital expenditures and passthrough funds. Passthrough funds are normally defined as major subcontracts, payments to participants, stipends to eligible recipients, and subgrants, all of which normally require minimal administrative effort.

Treatment of fringe benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs; fringe benefits applicable to indirect salaries and wages are treated as indirect costs.

Section II: General

Page 1 of 3

A. Limitations: Use of the rate contained in this agreement is subject to any applicable statutory limitations. Acceptance of the rate agreed to herein is predicated upon these conditions: (1) no costs other than those incurred by the subject organization were included in its indirect cost rate proposal, (2) all such costs are the legal obligations of the grantee/contractor, (3) similar types of costs have been accorded consistent treatment, and (4) the same costs that have been treated as indirect costs have not been claimed as direct costs (for example, supplies can be charged directly to a program or activity as long as these costs are not part of the supply costs included in the indirect cost pool for central administration).

B. Audit: All costs (direct and indirect, federal and non-federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based will be compensated for in a subsequent negotiation agreement.

C. Changes: The rate contained in this agreement is based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in organizational structure, or changes in the method of accounting for costs that affect the amount of reimbursement resulting from use of the rate in this agreement, require the prior approval of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

D. Provisional/Final Rates: Within 6 months after year end, a final rate must be submitted based on actual costs. Billings and charges to contracts and grants must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the organization may not recover all indirect costs. Conversely, if the final rate is less than the provisional rate, the organization will be required to pay back the difference to the funding agency.

E. Fixed Carryforward Rate: The fixed carryforward rate is based on an estimate of costs that will be incurred during the period for which the rate applies. When the actual costs for such period have been determined, an adjustment will be made to the rate for a future period, if necessary, to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

F. Agency Notification: Copies of this document may be provided to other federal offices as a means of notifying them of the agreement contained herein.

G. Record Keeping: Organizations must maintain accounting records that demonstrate that each type of cost has been treated consistently either as a direct cost or an indirect cost. Records pertaining to the costs of program administration, such as salaries, travel, and related costs, should be kept on an annual basis.

H. Reimbursement Ceilings: Grantee/contractor program agreements providing for ceilings on indirect cost rates or reimbursement amounts are subject to the ceilings stipulated in the contract or grant agreements. If the ceiling rate is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

I. Use of Other Rates: If any federal programs are reimbursing indirect costs to this grantee/contractor by a measure other than the approved rate in this agreement, the grantee/contractor should credit such costs to the affected programs, and the approved rate should be used to identify the maximum amount of indirect cost allocable to these programs.

J. Central Service Costs: Where central service costs are estimated for the calculation of indirect cost rates, adjustments will be made to reflect the difference between provisional and final amounts.

K. Other:

1. The purpose of an indirect cost rate is to facilitate the allocation and billing of indirect costs. Approval of the indirect cost rate does not mean that an organization can recover more than the actual costs of a particular program or activity.

2. Programs received or initiated by the organization subsequent to the negotiation of this agreement are subject to the approved indirect cost rate if the programs receive administrative support from the indirect cost pool. It should be noted that this could result in an adjustment to a future rate.

3. New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal or calendar years. The proposals are due in our office 6 months prior to the beginning of the year to which the proposed rates will apply.

Section III: Acceptance

Listed below are the signatures of acceptance for this agreement:

By the Indian Organization:

By the Cognizant Federal Government
Agency:

Justin B. Parker /s/
Signature
Justin Parker
Name (Type or Print)

Director of Administration
Title

12/9/10
Date

_____/s/
Signature
Deborah A. Moberly
Name
Indirect Cost Coordinator
Indirect Cost Services
Title
U.S. Department of the Interior
National Business Center
Agency
Date
Negotiated by Te Lam-Vi
Telephone (916) 566-7111

NWIFC Budget for January 1, 2011 - December 31, 2016

NWIFC - EPA Contract for Tribal Projects for January 1, 2011 - December 31, 2016											
	January - March 2011	April - June 2011	July - September 2011	October - December 2011	TOTAL 2011	2012	2013	2014	2015	2016	2011 - 2016 TOTAL
PERSONNEL	\$14,196.75	\$14,196.75	\$14,196.75	\$14,196.75	\$56,787.00	\$58,381.00	\$59,773.00	\$61,367.00	\$62,662.00	\$64,549.00	\$363,519.00
Puget Sound Projects Coordinator's - 1 FTE @ GS 8-10	\$12,531.00	\$12,531.00	\$12,531.00	\$12,531.00	\$50,124.00	\$51,516.00	\$52,908.00	\$54,300.00	\$55,595.00	\$57,280.00	
Salmon Recovery Coordinator - .1 FTE	\$1,665.75	\$1,665.75	\$1,665.75	\$1,665.75	\$6,663.00	\$6,865.00	\$6,865.00	\$7,067.00	\$7,067.00	\$7,269.00	
FRINGE BENEFITS	\$4,442.26	\$4,442.26	\$4,442.26	\$4,442.26	\$17,769.03	\$18,269.45	\$18,687.05	\$19,187.47	\$19,575.97	\$20,164.29	\$113,653.26
Compliance Tech III @ 30%	\$3,759.30	\$3,759.30	\$3,759.30	\$3,759.30	\$15,037.20	\$15,454.80	\$15,872.40	\$16,290.00	\$16,678.50	\$17,184.00	
.1 FTE Salmon Recovery Coordinator @ 41 %	\$682.96	\$682.96	\$682.96	\$682.96	\$2,731.83	\$2,814.65	\$2,814.65	\$2,897.47	\$2,897.47	\$2,980.29	
TRAVEL	\$500.00	\$500.00	\$500.00	\$500.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$2,000.00	\$12,000.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUPPLIES/MISC.	\$2,615.99	\$500.00	\$0.00	\$0.00	\$3,115.99	\$0.00	\$0.00	\$0.00	\$0.00	\$3,000.00	\$6,115.99
CONTRACTS - Sub award audits	\$0.00	\$0.00	\$0.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$75,000.00
OTHER											
Sub Awards -Eligible Tribal and Consortia Awards	\$1,433,227.80	\$1,433,227.80	\$0.00	\$0.00	\$2,866,455.60	\$2,867,929.32	\$2,865,318.79	\$2,862,297.38	\$2,859,868.76	\$2,851,970.07	\$17,173,839.93
Salmon Recovery Coordinator Training	\$100.00	\$100.00	\$100.00	\$100.00	\$400.00	\$400.00	\$400.00	\$400.00	\$400.00	\$400.00	\$2,400.00
TOTAL DIRECT CHARGES	\$21,855.00	\$19,739.01	\$19,239.01	\$31,739.01	\$92,572.02	\$91,550.45	\$93,360.05	\$95,454.47	\$97,137.97	\$102,613.29	\$572,688.25
INDIRECT CHARGES	\$9,673.02	\$8,736.48	\$8,515.18	\$14,047.68	\$40,972.38	\$40,520.23	\$41,321.16	\$42,248.15	\$42,993.27	\$45,416.64	\$253,471.82
TOTAL	\$1,464,755.82	\$1,461,703.29	\$27,754.19	\$45,786.69	\$3,000,000.00	\$3,000,000.00	\$3,000,000.00	\$3,000,000.00	\$3,000,000.00	\$3,000,000.00	\$18,000,000.00
Budget Justification:											
Personnel costs are calculated for 1.1 FTE to assure sub-award compliance with EPA rules and regulations											
Fringe Benefits are calculated individually to cover taxes, insurance and benefits (an NWIFC average rate of % is used for the new employee;											
The compliance Tech is responsible for all aspects of implementing this award. The Salmon Recovery Coordinator will provide direct supervision of these activities											
Travel - Mileage and per diem is estimated to conduct 7 tribal site visits per year and attend meetings and training conferences (estimates are based on comparable visits from our PCSRF project monitoring)											
Supplies/Misc. - 1 computer in 2011 and 1 in 2016 (NWIFC computer protocols specify a five year lifespan). There will be costs for rain gear, boots and camera for project visits.											
Contracts - we are estimating costs for five sub award audits per year and are conducted on a rotating basis. Our experience with similar sub award audits is that they average \$2,500 per audit.											
Training is required to maintain and enhance knowledge of Puget Sound related restoration technologies - funds are used for conference registration - for example the Salish Sea Research Conference											
Indirect rate is calculated at the FY 11 approved rate of 44.26% and includes personnel that have responsibilities for this award (Contracting, personnel, accounting and administration). Sub awards are not included in the calculation.											

TASK 1 - Program Development and Launch	January - March 2011	April - June 2011	July - September 2011	October - December 2011	TOTAL 2011	2012	2013	2014	2015	2016	2011 - 2016 TOTAL
PERSONNEL	\$1,419.68	\$1,419.68	\$1,419.68	\$1,419.68	\$5,678.70	\$5,838.10	\$5,977.30	\$6,136.70	\$6,266.20	\$6,454.90	\$36,351.90
Compliance Tech III - 1 FTE @ GS 8-10	\$1,253.10	\$1,253.10	\$1,253.10	\$1,253.10	\$5,012.40	\$5,151.60	\$5,290.80	\$5,430.00	\$5,559.50	\$5,728.00	\$36,351.90
Salmon Recovery Coordinator - .1 FTE	\$166.58	\$166.58	\$166.58	\$166.58	\$666.30	\$686.50	\$686.50	\$706.70	\$706.70	\$726.90	\$36,351.90
FRINGE BENEFITS	\$444.23	\$444.23	\$444.23	\$444.23	\$1,776.90	\$1,826.95	\$1,868.71	\$1,918.75	\$1,957.60	\$2,016.43	\$11,365.33
Compliance Tech III @ 30%	\$375.93	\$375.93	\$375.93	\$375.93	\$1,503.72	\$1,545.48	\$1,587.24	\$1,629.00	\$1,667.85	\$1,718.40	\$11,365.33
.1 FTE Salmon Recovery Coordinator @ 41 %	\$68.30	\$68.30	\$68.30	\$68.30	\$273.18	\$281.47	\$281.47	\$289.75	\$289.75	\$298.03	\$11,365.33
TRAVEL	\$50.00	\$50.00	\$50.00	\$50.00	\$200.00	\$200.00	\$200.00	\$200.00	\$200.00	\$200.00	\$1,200.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUPPLIES/MISC.	\$261.60	\$50.00	\$0.00	\$0.00	\$311.60	\$0.00	\$0.00	\$0.00	\$0.00	\$300.00	\$611.60
CONTRACTS - Sub award audits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
OTHER											
Sub Awards -Eligible Tribal and Consortia Awards	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Salmon Recovery Coordinator Training	\$10.00	\$10.00	\$10.00	\$10.00	\$40.00	\$40.00	\$40.00	\$40.00	\$40.00	\$40.00	\$240.00
TOTAL DIRECT CHARGES	\$2,185.50	\$1,973.90	\$1,923.90	\$1,923.90	\$8,007.20	\$7,905.05	\$8,086.01	\$8,295.45	\$8,463.80	\$9,011.33	\$49,768.83
INDIRECT CHARGES	\$967.30	\$873.65	\$851.52	\$851.52	\$3,543.99	\$3,498.77	\$3,578.87	\$3,671.56	\$3,746.08	\$3,988.41	\$22,027.68
TOTAL	\$3,152.80	\$2,847.55	\$2,775.42	\$2,775.42	\$11,551.19	\$11,403.82	\$11,664.87	\$11,967.01	\$12,209.87	\$12,999.74	\$71,796.51

TASK 2 - Award Cycle	January - March 2011	April - June 2011	July - September 2011	October - December 2011	TOTAL 2011	2012	2013	2014	2015	2016	2011 - 2016 TOTAL
PERSONNEL	\$2,839.35	\$2,839.35	\$2,839.35	\$2,839.35	\$11,357.40	\$11,676.20	\$11,954.60	\$12,273.40	\$12,532.40	\$12,909.80	\$72,703.80
Compliance Tech III - 1 FTE @ GS 8-10	\$2,506.20	\$2,506.20	\$2,506.20	\$2,506.20	\$10,024.80	\$10,303.20	\$10,581.60	\$10,860.00	\$11,119.00	\$11,456.00	
Salmon Recovery Coordinator - .1 FTE	\$333.15	\$333.15	\$333.15	\$333.15	\$1,332.60	\$1,373.00	\$1,373.00	\$1,413.40	\$1,413.40	\$1,453.80	
FRINGE BENEFITS	\$888.45	\$888.45	\$888.45	\$888.45	\$3,553.81	\$3,653.89	\$3,737.41	\$3,837.49	\$3,915.19	\$4,032.86	\$22,730.65
Compliance Tech III @ 30%	\$751.86	\$751.86	\$751.86	\$751.86	\$3,007.44	\$3,090.96	\$3,174.48	\$3,258.00	\$3,335.70	\$3,436.80	
.1 FTE Salmon Recovery Coordinator @ 41 %	\$136.59	\$136.59	\$136.59	\$136.59	\$546.37	\$562.93	\$562.93	\$579.49	\$579.49	\$596.06	
TRAVEL	\$100.00	\$100.00	\$100.00	\$100.00	\$400.00	\$400.00	\$400.00	\$400.00	\$400.00	\$400.00	\$2,400.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUPPLIES/MISC.	\$523.20	\$100.00	\$0.00	\$0.00	\$623.20	\$0.00	\$0.00	\$0.00	\$0.00	\$600.00	\$1,223.20
CONTRACTS - Sub award audits	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
OTHER											
Sub Awards -Eligible Tribal and Consortia Awards	\$1,433,227.80	\$1,433,227.80	\$0.00	\$0.00	\$2,866,455.60	\$2,867,929.32	\$2,865,318.79	\$2,862,297.38	\$2,859,868.76	\$2,851,970.07	\$17,173,839.92
Salmon Recovery Coordinator Training	\$20.00	\$20.00	\$20.00	\$20.00	\$80.00	\$80.00	\$80.00	\$80.00	\$80.00	\$80.00	\$480.00
TOTAL DIRECT CHARGES	\$4,371.00	\$3,947.80	\$3,847.80	\$3,847.80	\$16,014.41	\$15,810.09	\$16,172.01	\$16,590.89	\$16,927.59	\$18,022.66	\$99,537.65
INDIRECT CHARGES	\$1,934.61	\$1,747.30	\$1,703.04	\$1,703.04	\$7,087.98	\$6,997.55	\$7,157.73	\$7,343.13	\$7,492.15	\$7,976.83	\$44,055.36
TOTAL	\$1,439,533.41	\$1,438,922.90	\$5,550.84	\$5,550.84	\$2,889,557.98	\$2,890,736.96	\$2,888,648.53	\$2,886,231.40	\$2,884,288.51	\$2,877,969.56	\$17,317,432.94

TASK 3 - Program Management	January - March 2011	April - June 2011	July - September 2011	October - December 2011	TOTAL 2011	2012	2013	2014	2015	2016	2011 - 2016 TOTAL
PERSONNEL	\$9,937.73	\$9,937.73	\$9,937.73	\$9,937.73	\$39,750.90	\$40,866.70	\$41,841.10	\$42,956.90	\$43,863.40	\$45,184.30	\$254,463.30
Compliance Tech III - 1 FTE @ GS 8-10	\$8,771.70	\$8,771.70	\$8,771.70	\$8,771.70	\$35,086.80	\$36,061.20	\$37,035.60	\$38,010.00	\$38,916.50	\$40,096.00	
Salmon Recovery Coordinator - .1 FTE	\$1,166.03	\$1,166.03	\$1,166.03	\$1,166.03	\$4,664.10	\$4,805.50	\$4,805.50	\$4,946.90	\$4,946.90	\$5,088.30	
FRINGE BENEFITS	\$3,109.58	\$3,109.58	\$3,109.58	\$3,109.58	\$12,438.32	\$12,788.62	\$13,080.94	\$13,431.23	\$13,703.18	\$14,115.00	\$79,557.28
Compliance Tech III @ 30%	\$2,631.51	\$2,631.51	\$2,631.51	\$2,631.51	\$10,526.04	\$10,818.36	\$11,110.68	\$11,403.00	\$11,674.95	\$12,028.80	
.1 FTE Salmon Recovery Coordinator @ 41 %	\$478.07	\$478.07	\$478.07	\$478.07	\$1,912.28	\$1,970.26	\$1,970.26	\$2,028.23	\$2,028.23	\$2,086.20	
TRAVEL	\$350.00	\$350.00	\$350.00	\$350.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$1,400.00	\$8,400.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUPPLIES/MISC.	\$1,831.19	\$350.00	\$0.00	\$0.00	\$2,181.19	\$0.00	\$0.00	\$0.00	\$0.00	\$2,100.00	\$4,281.19
CONTRACTS - Sub award audits	\$0.00	\$0.00	\$0.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$12,500.00	\$75,000.00
OTHER											
Sub Awards -Eligible Tribal and Consortia Awards	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Salmon Recovery Coordinator Training	\$70.00	\$70.00	\$70.00	\$70.00	\$280.00	\$280.00	\$280.00	\$280.00	\$280.00	\$280.00	\$1,680.00
TOTAL DIRECT CHARGES	\$15,298.50	\$13,817.31	\$13,467.31	\$25,967.31	\$68,550.41	\$67,835.32	\$69,102.04	\$70,568.13	\$71,746.58	\$75,579.30	\$423,381.77
INDIRECT CHARGES	\$6,771.11	\$6,115.54	\$5,960.63	\$11,493.13	\$30,340.41	\$30,023.91	\$30,584.56	\$31,233.45	\$31,755.04	\$33,451.40	\$187,388.77
TOTAL	\$22,069.61	\$19,932.84	\$19,427.93	\$37,460.43	\$98,890.82	\$97,859.23	\$99,686.60	\$101,801.58	\$103,501.61	\$109,030.70	\$610,770.54

WORKPLAN NARRATIVE FOR 2010 APPLICATION FOR TRIBAL PROJECT FUNDING

December 17, 2010

NWIFC's Approach to Implement the Tribal Puget Sound Protection and Restoration Assistance Program

Overview

NWIFC has an extensive history of receiving and managing federal funds that are passed through to its member tribes, including EPA funding. Once funds are awarded, the process starts with finalizing a Request For Proposals, in conjunction with the funding agency, eligible tribes and other interested agencies – in this case the PSP. Implementation includes a full suite of activities including: receiving applications in response to the request for proposals and review of submitted projects to verify that they meet funding intent. This will include external reviewers. Once projects are acceptable, an award is made. NWIFC then ensures project accountability through appropriate invoicing, financial audits, progress reporting and by conducting site visits. NWIFC Commissioners have put in place several procedures to ensure contract compliance from sub-awardees, for example withholding future funding until full compliance is achieved.

The following narrative describes the first year of the proposed 6-year project period. Subsequent years are expected to follow the same essential plan, budget, and timeline. The project will be adaptively managed so that changes in funding and other circumstances may be resolved in cooperation with the EPA Project Officer (see Subtask 3.4).

Task 1. Program Development and Launch

Subtask 1.1 Communication/outreach plan (January-February 2011)

A communication/outreach plan will be developed for disseminating information on this funding opportunity and supporting eligible applicants. The goal of the plan will be to ensure that all relevant departments of all eligible entities are notified equitably, timely, and thoroughly of this funding program and the processes that will be used to solicit, evaluate, and resolve issues on proposals, and make awards. This plan may include a draft fact sheet and web materials, draft transmittal note for RFP, draft mailing list, and target dates for release of each of these items.

OUTPUT: Communication/outreach plan

Subtask 1.2 RFP development and distribution (January 2011)

A strong basis exists for developing a proposal format to evaluate tribal projects; one was developed for the PSP-EPA fiscal year 2008 process. This format was developed in cooperation with the EPA and the PSP. The fundamental components of an application would include standard project attributes including: description, location, timeframes, reasonable cost determination, relevance to fund objectives and deliverables. It is anticipated that the FY 08 template will be modified to recognize the specific intent of these implementation funds.

Anticipated changes include the requirement to develop logic models incorporating specific project outputs and anticipated outcomes. In addition any projects that will be collecting environmental data will also be required to develop and obtain EPA approval on Quality Assurance Project Plans prior to collecting environmental data.

An initial framework for the RFP is included as an appendix to this application. The framework also includes an initial review process outline. The RFP finalization timeline and process will be developed in consultation with the EPA Project Officer.

OUTPUTS: RFP finalization, timeline and process
Final RFP Broadcast
Proposal review process and timeline

Subtask 1.3 Coordination plan (January 2011)

Other organizations will be administering 2010 EPA “lead organization” subaward programs¹ in parallel with this program. The Puget Sound Partnership has also been selected to be the lead organization providing oversight and coordination of Puget Sound protection and restoration efforts. NWIFC and Federally recognized tribes and tribal consortia in Puget Sound have received funds for capacity to participate in Puget Sound recovery activities and collaborate with other important funding programs and initiatives (e.g., Salmon Recovery Funding Boards, local watershed councils and organizations) support Puget Sound protection and restoration efforts.

It is important for these organizations to be mutually aware of the aims and activities of the other funding programs (e.g., identifying synergies and opportunities for leveraging work and funding to better support and strengthen individual projects or thematic areas; enabling sharing of lessons learned, data, and other resources among projects funded under different programs; and avoiding duplicative funding efforts). The overall coordination of tribes and tribal participation in implementation of the Action Agenda is carried out by NWIFC in its role under its capacity award. This award will have a responsibility to share the information regarding its sub awards. We will more fully develop the role and sharing details in the coordination plan (e.g., specific communication mechanisms and venues) in the first month of the project period in consultation with the EPA Project Officer. Under the plan, coordination will occur throughout the duration of the project period.

OUTPUT: Coordination plan that can be executed throughout the project period

Task 2. Award Cycle

Subtask 2.1 Reviewing subaward proposals (February - April 2011)

It is anticipated that the tribes will be allowed up to 45 days to submit applications upon NWIFC’s release of the subaward RFP. NWIFC will evaluate tribal proposals by reviewing the completeness and applicability of responses provided within the project templates. NWIFC will

¹ Marine and Nearshore Protection and Restoration; Watershed Protection and Restoration; Toxics and Nutrients Prevention, Reduction, and Control; Pathogens Prevention, Reduction, and Control; and Education and Outreach

defer to the tribes' local expertise in determining the priority of projects for implementation. NWIFC will provide completed proposals to the PSP and EPA for technical review and comment and projects will be evaluated within a two week period after they are submitted. Through this review process projects will either be approved or a dialogue will occur between NWIFC and the tribal applicant to address comments raised during the review process. This technical review will ensure that projects are likely to result in measurable outputs and to the extent possible that outcomes will result in assisting the implementation of Action Agenda with an ultimate goal of having a healthy and productive Puget Sound.

OUTPUTS: Project files created and maintained for each proposal
Comments and input from technical review documented
Comments and input from EPA and PSP review documented
Key review comments and input shared with applicants

Subtask 2.2 Receive final proposals and make subawards (February-April 2011)

Any projects requiring major modification will be resubmitted and subjected to the same review process. Once the review is complete either they will be approved or a dialogue will occur between NWIFC and the tribal applicant to address comments raised during the review process. Final proposals will be used to document the scope of work and in conjunction with other award conditions and forms will comprise the award agreement with an eligible tribe or tribal consortia.

OUTPUTS: Final proposals addressing key comments/input received
65% of funds awarded to final proposals by 02-28-2011
All funds awarded for final proposals by 04-30-2011
All recipients fully informed of all applicable award requirements

Task 3. Program Management

Subtask 3.1 Support/meet with awardees (March – December 2011)

Inevitably with any new funding initiative, questions will arise about the program. NWIFC as the lead organization will offer and be available for any subrecipient to discuss matters relating to the program. It is anticipated that inquiries will be addressed through phone communications, email correspondence or personal visits.

OUTPUTS: All subrecipients understand applicable award requirements

Subtask 3.2 Conduct project monitoring (March-December 2011)

Once the tribal projects are approved standard grants administrative procedures begin. This entails project invoicing and reimbursement. Review during invoicing will ensure only allowable costs as detailed in project budgets are processed. Subaward recipients will also be

responsible for providing semi-annual progress reports, these reports will be reviewed to ensure projects objectives are being met consistent with the project description. NWIFC also conducts sporadic site visits to further document project accomplishments. See the section "Subaward projects" below for additional information about how this Task is conducted.

Formal documentation of accountability occurs through various tracking mechanisms. For instance progress reports are logged in as they are received to document compliance with report deadlines. Reports are also made available on a dedicated website to provide transparent updates on current project accomplishments. Site visits are documented through the preparation of site visit reports.

Inevitably unforeseen circumstances arise that prevent some projects from occurring as proposed. In these circumstances, subaward grants may be revised or re-directed to new or different objectives. Should project objectives change, or projects require significant budget modification (i.e. greater than 10% change in allocation of costs among approved line items in the budget), the subaward recipient will be required to submit the proposed modification for approval.

OUTPUTS: Subawardee reporting requirements timelines met
Site visits conducted to 33% of funded projects
Site visit and progress reports prepared and made available
All recipients in compliance with applicable award requirements

Subtask 3.3 Execute coordination plan (February-December 2011)

It is anticipated that once developed, the coordination strategy (developed under Program Development Subtask 1.3) will detail the mechanisms in which NWIFC will facilitate the execution of the strategy. The strategy will likely utilize existing processes and groups. For instance, extensive coordination of PSP priorities and project endeavors already occurs through tribal input to leadership bodies like the ECB and Leadership Council. Through separate award from EPA, NWIFC and tribes participate in all PSP Management Conference activities and all of the subaward tribes and consortia will be provided updates and information from those processes. One of the primary objectives under Program Development will be to identify specific areas where communications between lead organizations should occur to avoid duplicity of tasks by ensuring that project proponents under all other lead organizations are cognizant of other projects that are being undertaken by other entities through other lead organizations. This coordination will also establish areas where project sponsors can potentially collaborate on various efforts.

OUTPUTS: Exchange of projects list between lead organizations (through separate award from EPA)

Subtask 3.4 Reporting and adaptive management (March-December 2011)

To accomplish the administration of this program NWIFC will have a dedicated project coordinator position charged with the aforementioned responsibilities. Administration of the program includes periodic review of progress to ensure project is proceeding consistent with workplan and timeline; timely and successful completion of outputs; preparation of required reports; and periodic communication with EPA Project Officer. Significant deviations from workplan, timeline, or budget, and proposed resolutions will be discussed with EPA Project Officer at quarterly check-in meetings/calls.

OUTPUTS: Lead organization reporting requirements fulfilled
 Quarterly check-in meetings/calls with EPA Project Officer

Through separate award from EPA, NWIFC and tribes participate in all PSP Management Conference activities and all of the subaward tribes and consortia will be provided updates and information from those processes.

NWIFC Grants Management Process Flow

GRANT MANAGEMENT STEP	INVOLVED PERSONNEL	TASKS
RFP Development	Project Officer, Project Coordinator, administrative staff and management- with guidance from Commissioners	<p>Develop the RFP for solicitation.</p> <ul style="list-style-type: none"> Identify eligible applicants and types of projects Develop proposal and budget format; evaluation criteria and subrecipient instructions, including application forms, as needed. Establish schedules to complete the solicitation
RFP Issuance	Management	Solicitation announced and made available to all potential applicants. Staff identified in the RFP that are available to answer questions from potential applicants.
Application Receipt	Project Coordinator	Applications logged in as received. Initial screen of application to verify that threshold criteria specified in the RFP are met and package includes all required elements.
Application Evaluation and Workplan Negotiation	Project Officer, Project Coordinator, management, review team if cooperative agreement (can be comprised of tribal reviewers, other professionals including, but not limited, to state and federal agencies representatives)	Dependent on the type of solicitation, established reviewer(s) evaluate applications in light of the evaluation criteria. Reviewer(s) select proposals for funding. Project Coordinator documents the evaluation process and results of the evaluation. If a proposal fails to meet or exceed one or more of the criteria, Project Coordinator (in cooperation with review team, where applicable) works with the applicant to correct the proposal's deficiencies or rejects the proposal.
Cost review	Project Officer, Project Coordinator, Contract Specialist and review team (if applicable)	Review detailed budget to verify applicant costs and confirm that all proposed costs are reasonable, allowable and allocable. Obtain supplemental cost information from applicants. Verify that final detailed budget matches information in the SF424 and SF424A (or equivalent forms created by Lead Organization)
Award document development	Contract Specialist	Develop a grant award document with the full set of legally

GRANT MANAGEMENT STEP	INVOLVED PERSONNEL	TASKS
		required forms; and terms and conditions. These requirements include, but are not limited to: OMB Circulars A-87, A-133, A-102, 40 CFR Part 31, 40 CFR Part 34, notice of restrictions on international travel, or requirements for quality assurance.
Execute award to recipient	Contract Specialist	Send signed award to recipient, receive countersigned award back, log into grants management system records.
Initiate Post-Award Monitoring	Project Coordinator, Contract Specialist	Communication with subrecipients to ensure project sponsors are aware that NWIFC is available to answer questions. Confirm that they are aware of requirements for prior written approval by Project Coordinator and Contract Specialist of any changes in statement work/work plan or cumulative transfers among cost categories equal to more than 10% of the total budget.
Baseline Post-Award Monitoring	Contract Specialist, Project Coordinator, administrative and financial staff	Monitor disbursements to recipients; submit grantee reports to funding entity as specified in award agreements; work with subrecipients on any corrective actions indicated by review of progress reports. Conduct site visits, when appropriate. Process amendment requests, as necessary (changes in scope, budget and performance period).
Advanced Post-Award Monitoring	Contract auditing firm	Review recipient files, verify compliance with grant requirements on-site, verify that any contracts were award in compliance with applicable law and regulations; perform transaction tracking to verify appropriate use of funds and that funds are being used for eligible costs.
Close-out	NWIFC Project Coordinator, Grants Specialist	Verify acceptable completion of all deliverables; verify compliance with reporting systems; and verify that grant file is complete and store in appropriate place.

Timeline Year 1: 1/1/11 – 12/31/11

TASK	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC
TASK 1												
1.1 Communication/outreach strategy												
1.2 RFP development and broadcast to applicants												
1.3 Coordination plan												
TASK 2												
2.1 Reviewing subawards												
2.2 Receive final proposals and make subawards												
TASK 3												
3.1 Support/meet with awardees												
3.2 Conduct project monitoring												
3.3 Execute coord plan/coord with Mgmt Conf												
3.4 Reporting and adaptive management												

Milestones by Task

Task 1

- 1.1- Developed communication /outreach strategy
- 1.2- RFP developed and broadcast to tribal applicants
- 1.3- Coordination plan developed and ready to be implemented

Task 2

- 2.1- All projects submitted reviewed and appropriately documented
- 2.2- All final projects submitted and subawards made

Task 3

- 3.1- Questions from applicants and subrecipients addressed
- 3.2- Progress reporting, site visits and overall compliance meet standards established in workplan
- 3.3- Coordination plan in place and executed as envisioned
- 3.4- Quarterly communications occur as expressed in workplan

[

Monitoring, Measuring and Outreach:

The system that NWIFC has in place currently is only designed to track whether or not a project is implemented as planned and to share that information on our website. We do not have any system or resources to look at the effectiveness of those projects through any form of monitoring, measuring or outreach. NWIFC is in the process of building the internal capacity to be able to track and share tribally collected data, whether related to specific projects or monitoring trends for ecosystem components. This system is starting with EPA's Storet system, but will quickly expand beyond just water quality parameters into fish monitoring and ecosystem monitoring data collection. The desired operation of these systems requires tribes to collect and maintain their own databases, but then that information will be summarized and made available through NWIFC computer systems, for access by all tribes and other Management Conference members via the internet. In a well coordinated PSP monitoring system, tribal data will be a valuable piece of the information required to adaptively manage the monitoring systems and the data collected.

Financial Management Systems:

NWIFC management has the responsibility for internal control and compliance with applicable laws, regulations, agreements, and other requirements imposed by federal agencies. Internal control ensures that transactions are executed in accordance with management's authorizations and recorded properly to permit the preparation of financial statements in accordance with generally accepted accounting principles. Internal controls also provide assurances that federal award programs are managed in compliance with applicable laws and regulations and the provisions of contracts and grant agreements.

Audits of NWIFC financial records are conducted annually by an independent, certified public accounting firm. No findings were reported during the audit of the financial statements for the past four fiscal years. Audits are conducted in accordance with generally accepted auditing standards, Government Auditing Standards and Circular A-133. As required by OMB Circular A-133, management has the responsibility to take corrective action in response to audit findings and to prepare a summary schedule of prior audit findings and a corrective action plan.

The subaward tribes will be primarily responsible for financial accountability demonstrating that grants are being spent to achieve project objectives. NWIFC will conduct a subaward accounting review for about six tribal subawards each year. The tribal subaward recipients are also audited annually in accordance with OMB Circular A-133. Audits will be conducted by an independent, private accounting firm.

The NWIFC has a double entry, modified accrual system of accounting. Control of this system is accomplished through use of internal staff and external expertise as required to meet all management requirements. The Commission has implemented automated accounting systems utilizing the Micro Information Products (MIP) government accounting software system. A centralized financial management system is employed and each federally funded program is segregated within a centralized accounting system.

The NWIFC has established a tracking system to assure timely submission of required reporting, such as: financial reports, performance reports, audit reports, onsite monitoring reviews of subaward recipients, and timely resolution of audit findings. Supervisory reviews are performed to determine the adequacy of subaward recipient monitoring.

Outputs and Outcomes:

A logic model is presented in a preceding section detailing anticipated output and outcomes related to NWIFC's role as a program administrator of these funds. This section presents expected outputs from the perspective of NWIFC role as a lead organization and outcomes that would likely materialize from subaward projects. The suite of projects that may occur under this funding will be broad; therefore it is not feasible to hypothesize all the outcomes that may occur. However a few examples are provided. One scenario might be a tribe proposes a project that reviews the adequacy of fish consumption rates, taking into account the admixture of multiple persistent bio-accumulative toxins (PBTs). An outcome of this effort might inform whether current health advisories are adequately protective to tribal community members. Ultimately this might result in improved human health of tribal members.

A second example would be the placement of large woody debris (LWD) in habitat currently devoid of this habitat feature. The output would be the successful implementation of LWD placement and increased adult holding and juvenile rearing habitat for salmonids. The outcome, taking into account other similar efforts in the region would hopefully be increased abundance and productivity of species utilizing the restored habitat.

Collaboration:

NWIFC will engage with PSP and EPA throughout the development of the proposal and throughout the solicitation of project proposals – and specifically will obtain assurance that proposed tribal projects do not duplicate activities already funded by the Federal Government. To accomplish this NWIFC will share a draft of the NWIFC proposal with PSP prior to submittal to EPA, and will solicit PSP and EPA review of individual tribal project proposals prior to awarding funds. Further tribal proposals that collect environmental data will work in conjunction with EPA QA/QC staff during the development of their QAPPs.

Through separate award from EPA, NWIFC and tribes participate in all PSP Management Conference activities and all of the subaward tribes and consortia will be provided updates and information from those processes.

Programmatic Capability and Past Performance:

From NOAA - Pacific Coastal Salmon Recovery Fund – Fiscal Years 2007 – 2009.

From Washington State (through NOAA) – Hatchery Reform Implementation – Fiscal Years 2007 – 2008.

These five awards are similar in nature to the proposal being submitted to EPA. NWIFC receives an amount of funds that it then must utilize to manage the award, solicit proposals for funding from individual tribes that meet the requirements of the program, award funding, track

progress toward completion of each project, report to the originating agency on compliance on progress and provide final reports for each fund year. NWIFC successfully fulfills the requirements of the funder and all applicable Federal regulations, for these and many other similar grants. Each year NWIFC successfully manages and completes, multiple grants, that each span multiple years, which are targeted to pass money through to tribes to complete projects. Final reports provide both technical results and fiscal accountability in a timely manner.

a. Whether and how you were able to successfully complete and manage the agreements.

NWIFC has always successfully processed and managed these agreements in a very timely manner.

b. Your history of meeting the reporting requirements under the agreements, including whether you adequately and timely reported on your progress towards achieving the expected outputs and outcomes (and if not, explain why) and whether you submitted acceptable final technical reports under the agreements.

While NWIFC has always successfully completed its reporting requirements, with 20 subrecipients, there can be sporadic instances of late invoicing or submitting progress reports.

As mentioned in earlier sections, NWIFC has extensive organizational staff expertise that will be applied to soliciting tribal projects, providing coordination with the Management Conference in evaluating them and tracking the progress and expenditure of funds for the subawards.

The recruitment of the Puget Sound Projects Coordinator identified in the budget is targeted to a college graduate with a Bachelor's degree in natural resources. The position description requirements also include grant writing and grant administration experience and background in biological sciences, with an understanding of natural resource issues in the ongoing efforts to clean up Puget Sound and resources of vital importance to NWIFC member Tribes. Administration of the program includes periodic review of progress to ensure project is proceeding consistent with workplan and timeline; timely and successful completion of outputs; preparation of required reports; and periodic communication with EPA Project Officer.

The NWIFC Salmon Recovery Coordinator will serve as the Principal Investigator for this Cooperative Agreement. Experience required includes database development and management, and serving as the Salmon Recovery Project Coordinator for projects funded under NOAA's Pacific Coastal Salmon Recovery Fund (PCSRF). His role under PCSRF program requires grant writing, project review and administering grant requirements. The Salmon Recovery Coordinator will provide oversight in all aspects of the new Puget Sound Projects Coordinator's work. This includes ensuring that project reviews, reporting, site visits and information sharing occurs as envisioned and specified in the cooperative workplan.

The NWIFC Indirect Pool includes contract specialists, financial function administrators, Information and Education officers, database support, computer management, human resources and more that will support some aspects of this Cooperative Agreement.

Please also submit the following documents and attach them to the narrative proposal (These do not count towards the narrative proposal page limit):

Detailed Budget: Attached

Logic Model for Puget Sound Protection and Restoration: Tribal Implementation Assistance Program as Administered by NWIFC

Link to EPA Strategic Plan	Resources/Inputs	Activities (and targets, if any)	Stated Outputs (with targets)	Anticipated Outcomes (with targets)
<p>Goal 2 - Clean and Safe Water</p> <p>Goal 4 - Healthy Communities and Ecosystems</p> <p>Objective 5.3 Improve Human Health and the Environment in Indian Country</p>	<p>NWIFC Grant Awarded to administer tribal grants under the Puget Sound Protection and Restoration: Tribal Implementation Assistance Program</p>	<p>Task 1. Program development</p> <p>Subtask 1.1 Develop communication plan / strategy to notify eligible tribes of funding opportunity (January - February 2011)</p> <p>Subtask 1.2 RFP development (January 2011)</p> <p>Subtask 1.3 Develop coordination plan (January 2011)</p>	<p>Communication plan developed which ensures that all eligible applicants are notified equitably, timely and understand funding opportunity process and requirements.</p> <p>Process and timelines established for submitting and reviewing proposals. RFP completed and ready to be distributed to potential applicants.</p> <p>Coordination plan developed and ready to be executed.</p>	<p>Through services provided at NWIFC, the organization will assist in the facilitation of establishing emerging tribal priorities under the Action Agenda.</p> <p>Program administration by NWIFC ensures that projects undertaken transparently document improvements in the Puget Sound ecosystem, in particular in those areas identified as high priorities to our member tribes, such as:</p> <ul style="list-style-type: none"> The development of appropriate indicators and benchmarks of the health of the Puget Sound ecosystem, including the linkages to recovery goals established by resource co-managers. The development of better management and monitoring systems for toxics, and to identify sources and reduction methods (e.g., prior to entering stormwater conveyance systems) so that Tribal rates of fish and shellfish consumption are safe. Identification of existing landuse regulations that fail to provide adequate protection for natural resources (e.g. permitted shoreline and hydraulic alteration such as bulkheads, riprap, culverts) identified failures will be targeted for reform. Implementation of on-the-ground projects that maintain natural hydrologic processes and flows. The development of flood hazard management plans that reduce floodplain
		<p>Task 2. Award cycle</p> <p>Subtask 2.1 Review project proposals (February- April 2011)</p> <p>Subtask 2.2 Receive final proposals and make subawards</p>	<ul style="list-style-type: none"> Project files created and maintained for each proposal Comments and input from technical review documented Comments and input from EPA and PSP review documented Key review comments and input shared with applicants <p>Final proposals addressing key comments/input received and awarded.</p> <ul style="list-style-type: none"> 65% of funds awarded to final proposals by 02-28-2011 All funds awarded for final proposals by 04-30-2011 All recipients fully informed of all applicable award requirements 	

		<p>Task 3. Program Management</p> <p>Subtask 3.1 Support/meet with awardees (March- December 2011)</p> <p>Subtask 3.2 Conduct Project Monitoring (March-December 2011)</p> <p>Subtask 3.3 Execute coordination plan (February-December 2011)</p> <p>Subtask 3.4 Reporting and adaptive management</p>	<p>All award recipients are fully cognizant of grant requirements.</p> <ul style="list-style-type: none"> • Subawardee reporting requirements timelines met • Site visits conducted to 33% of funded projects • Site visit and progress reports prepared and made available • All recipients in compliance with applicable award requirements <p>Exchange of projects list between lead organizations.</p> <ul style="list-style-type: none"> • Lead organization reporting requirements fulfilled • Quarterly check-in meetings/calls with EPA Project Officer 	<p>development and to seek restore natural floodplain function.</p> <ul style="list-style-type: none"> • The development of various mechanisms such as mitigation banking that promote land-use protection.
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WORKPLAN OUTLINE FOR 2010 EPA APPLICATION FOR TRIBAL PROJECT FUNDING

- *Task 1: Program Development and Launch (.1 FTE January-February 2011)*
 - *Subtask 1.1 Develop Communication/outreach strategy (January-February 2011)*

Outputs: Communication/outreach plan

- *Subtask 1.2 RFP development- defines objectives and evaluation criteria; finalize solicitation; identify review committee and process; and, identify timeline (January 2011)*

*Outputs: RFP finalization timeline and process
Finalized RFP
Proposal review process and timeline*

- *Subtask 1.3 Develop Coordination plan (January 2011)*

Output: Coordination plan developed

- *Task 2: Award cycle (1 FTE February-April 2011)*
 - *Subtask 2.1 Proposal Review (February -April 2011)*

*Outputs: Project files created and maintained for each proposal
Comments and input from technical reviews documented.*

- *Subtask 2.2 Receive final proposals and make subawards (February-April 2011)*

*Outputs: Final proposals addressing key comments/input received
All applicants aware of award requirements
All subawards made by April 2011.*

- *Task 3: Program Management (1 FTE April- December 2011)*
 - *Subtask 3.1 Support/meet with awardees (March-December 2011)*

Output: As requested, schedule meetings with awardees to address any program questions.

- *Subtask 3.2 Conduct project monitoring (March - December 2011)*

*Outputs: Subawardee reporting requirements met
Site visits conducted to 33% of funded projects
Site visit and progress reports prepared and made available
All recipients in compliance with applicable award requirements*

- *Subtask 3.3 Execute coordination plan/coordinate with Management Conference; other local integrating organizations (February 2011- December 2011)*

Outputs: Exchange of projects list between lead organizations

- *Subtask 3.4 Reporting and adaptive management (March-December 2011)*

*Output: Lead organization reporting requirements fulfilled timely
Quarterly check-in meetings/calls with EPA Project Officer*

Applicant Name: NW Indian Fisheries Commission

Project Title: NWIFC Implementation of the PSP Action Agenda

Federal Amount Requested: Match:

\$18,000,000

Application Deadline:

ID No.:

Please provide the following **checked and bolded** certifications, forms, or additional document/information to complete your application process.

Certifications/Forms:

- ☒ Application (SF424)
- ☒ Budget Information (SF424A)
- ☒ Assurance, Non-Construction Program (SF424B)
- ☒ Pre-Award Compliance Review Report (EPA Form 4700-4)
- ☒ Certification Regarding Lobbying – required if the requested EPA funding is more than \$100,000.
- ☒ Key Contact List

Required Additional Document/Information:

- ☒ Copy of current Negotiated Indirect Cost Rate Agreement. This agreement **MUST** cover the first year or more of the grant project period.

The following list of documents **must use red line strike-out** if revisions are made.

- ☒ Detailed Itemized Budget. Please provide and show both EPA and match breakdown. Budget categories need to be broken out and linked to major tasks. Send us off what you have so that we can provide more specific comments.
- ☒ Narrative Statement (Work Plan): *Transform proposal into work plan. See Handout #1 for work plan required elements. Provide more detail on the components like who will do work and quantify the outputs. What kind of monitoring will you be doing? Monitoring will need a quality assurance project plan – please mention in workplan and include in timeline.*
- ☒ Logic Model: *Some outputs can and should be quantified.*
- ☒ Project Timeline and Milestones. [may need revision]
- ☐ Other:

Please answer the following questions.

1. **Lobbying Activities:** Does your requested EPA funding total over \$100,000 AND is or will your organization be conducting lobbying activities? YES or **NO**

If YES, you must complete the Disclosure of Lobbying Activities (SF LLL) form.

☐ Disclosure of Lobbying Activities (SF LLL)

2. **Conference/Workshop:** Does your narrative statement (work plan) involve conducting any conferences or workshops? YES or **NO**

If YES, please answer the following questions:

- a. Who is initiating the conference/workshops/meetings?
- b. How is the conference/workshop/meeting being advertised?
- c. Whose logo will be on the agenda and conference/workshop/meeting materials?
- d. What is the percentage distribution of the persons attending the conference/workshop/meeting (i.e., percentage of federal government, public participants, and state and locals)?
- e. Are you going to prepare the proceedings or analysis and disseminate the information back to the appropriate (state/local/scientific) community?
- f. Do you anticipate any program income being generated from the conference/workshop/meeting, including registration fee?

3. **Quality Assurances** (see Programmatic Terms and Conditions):
Does your work plan include generation of environmental data, or use of existing environmental data? **YES** or NO:

4. **Information Collection** (see Programmatic Terms and Conditions #4):
Will this project include the survey or collection of identical information from 10 or more persons? YES or NO: **Probably No**

If YES, please provide the following information to obtain Office of Management and Budget (OMB) clearance prior to the collection of information.

- a. Description of the information to be collected.
- b. Explanation of the need for the information.
- c. To whom the survey is being directed.

5. International Travel: ~~Will this project~~ performed entirely or in part outside of the United States? YES or NO: No

If YES, prior approval (clearance) from Office of International Affairs (OIA) is required prior to performing work outside of the United States. [The EPA Project Officer requests approval, grantee merely needs to provide pertinent information to PO.]

6. Program Income (see Programmatic Terms and Conditions #3):
Is this project expected to generate program income? YES or NO:

If YES, Place the estimated amount on the 424 & 424A form.

Instruction to submit your complete application package:

1. Attach this document with your complete application package.
2. PDF signed certification forms.
3. Any revision to the documents (e.g., detailed budget, workplan, logic model, project timeline/milestone) must be in Word Doc and red line strike-out.
4. Send your complete application package via email with the subject line reference the ID No. and Applicant Name.
5. Send email TO: holzendorf.evelyn@epa.gov and CC: steinborn.daniel@epa.gov

For additional information or questions, please contact:

Evelyn Holtzendorf, Grants Specialist
(206) 553-6344
Fax: (206) 553-4957
e-mail: holzendorf.evelyn@epa.gov

*** * * DRAFT DRAFT Request for Proposals * * ***

FY 2010 Projects for Restoration and Protection of Puget Sound

Background:

The purpose is to provide sub-grants to interested NWIFC member tribes within Puget Sound to implement high priority projects identified in the Puget Sound Partnership's Action Agenda, or other existing recovery plans or which will contribute directly to the restoration and protection of Puget Sound.

The proposal must provide enough information and detail to determine that the proposed project will result in clearly defined outputs and will clearly contribute to improved local environmental outcomes. NWIFC, EPA and PSP evaluations will occur as projects are received.

Proposal Format: Attached is the information packet for submitting 2010 project proposals for Restoration and Protection of Puget Sound. This packet contains the following attachments:

- Attachment 1 – Guidance Document / General Criteria for Implementation Projects
- Attachment 2 – Definition of Eligible Projects
- Attachment 3 – Format for Submitting 2010 Proposals
- Attachment 4 – Evaluation Criteria
- Attachment 5 – Description of the Evaluation and Ranking Process

The proposal templates can also be downloaded from our web site with the following link:
<http://www.nwifc.org/enhance/download.asp>

Timeline for FY Proposals and Evaluation:

- February ??, 2011 - Proposals accepted after this date (attn. Dietrich Schmitt dschmitt@nwifc.org) Electronic submittal required to facilitate project review.
- Three weeks for tribal and EPA/PSP reviews/awards
- March 15, 2011 - All proposals from interested tribes must be received by NWIFC
- March 31, 2011 - Final date for proposal evaluation by tribal evaluators
- April 30, 2011 - All proposals evaluated by EPA/PSP staff and sub-grants awarded

Funding Limits: Projects must not exceed \$150,000

Additional Information & Requirements for Applicants:

As always, NWIFC staff is willing to provide comments and suggestions on draft proposals. We encourage you to send draft proposals to Terry Wright twright@nwifc.org to take advantage of this review.

Feel free to contact Terry Wright (360-528-4336) with any questions you may have on this proposal process.

Attachment 1

**Guidance Document / General Criteria
Puget Sound Tribal – EPA Implementation Sub-Awards**

The purpose is to provide sub-awards to NWIFC member tribes within Puget Sound to implement high priority projects identified in the Puget Sound Partnership's Action Agenda, or other existing recovery plans or by a tribe, which will contribute directly to the restoration and protection of Puget Sound.

The proposal must provide enough information and detail to determine that the proposed project will result in clearly defined outputs and will clearly contribute to improved local environmental outcomes.

General Criteria for Developing and Approving Puget Sound Tribal Implementation Sub-Awards: Proposal must meet one or more of the following; see also Attachment 2. (Use EPA language in Makah attachment)

- a) Project proposal addresses one of the PSP's identified core environmental priorities for Puget Sound: reducing sources or release of toxics, nutrients, and/or pathogens into aquatic environments; aquatic species protection; aquatic habitat protection or restoration; protecting human health from exposure to contaminated waters or aquatic resources; (design, permits, and/or construction).*
- b) Project proposal helps identify or establish local action area priorities under the new Action Agenda (for example: implementing salmon recovery plans; helping to upgrade septic system or wastewater treatment; helping to reduce water quality impacts from agricultural practices or the generation of urban stormwater; helping to restore aquatic habitats or re-connecting fragmented habitats; implementing water conservation practices in watersheds experiencing low flows; ...).*
- e)b) Implement fish or shellfish related research or monitoring projects directed at Puget Sound recovery.*
- d)c) Tribal input to the regional PSP Action Areas – tribal data, analyses, assessment and priorities for the Action Agenda.*
- e) Tribal representation on the Ecosystem Coordination Board.*
- f)d) The proposed project addresses the cause or source of an environmental problem, by preventing or reducing: the generation, release, or transfer of pollutants; or other downstream impacts (e.g. hydrological impacts, temperature impacts, erosional impacts ...).*
- g)e) The proposal applies cultural or traditional ecological knowledge to help establish and implement local ecosystem protection and restoration priorities.*

Attachment 2

Definition of Eligible Projects

Projects for Restoration and Protection of Puget Sound

The purpose is to provide sub-grants to member tribes within Puget Sound to implement high priority projects identified in existing recovery plans or by the Puget Sound tribes which will contribute directly to the restoration and protection of Puget Sound. Eligible projects will address one or more of the following:

- 1. Implement prioritized habitat restoration or protection projects (designing, permits, construction);*
- 2. Implement prioritized ecosystem restoration or protection projects;*
- 3. Implement prioritized fish enhancement projects directed at Puget Sound recovery;*
- 4. Implement prioritized fish or shellfish related research projects directed at Puget Sound recovery;*
- 5. Implement monitoring projects related to Puget Sound protection or restoration;*
- 6. ~~Tribal representation on the Ecosystem Coordination Board.~~*

Attachment 3

**Proposal Format
For FY Puget Sound Partnership Projects**

Note: Proposals should use the following format, including the budget page. There is no page limit, and proposals may include bibliographies, figures or attachments deemed useful for understanding the project.

NEW FORMATS INSERTED HERE – Makah or other EPA???? (FEATS ???)

Name of Project Title:

Brief Description of Project Abstract: (e.g. shellfish habitat restoration, evaluating salmonid use of nearshore habitat, reducing impact of stormwater runoff). Please limit to a maximum of three sentences.

Tribe:

Project Location: Provide latitude / longitude coordinates, if project comprises a large geographic area provide a central point and action area.

Project Criteria to be Addressed: (i.e. list project type from list in Attachment #2)

Proposed Starting and Ending Dates: (ending date of project's dependence on this source of funds)

Project Coordinator (lead technical person): ~~(lead technical person)~~ Provide contact name, street address, fax, phone, and email address.

1. Project Proposal Development

- a. State the measurable objective(s) of the project-proposal elements
- b. For each element describe the methods or process that will be used to (e.g. how will the project be implemented each element.
- c. For each proposal element provided the following linkages:

a. Ecosystem Goals Addressed

- i. Primary Goals
- ii. Additional Goals

b. Direct Threats Addressed

- i. Primary Threats
- ii. Secondary Threats

c. Linkages to Puget Sound Action Agenda

Comment [DS1]: Note in the FY 08 process that we had "projects" that had multiple elements, elements that could be arguably separate projects. So rather than call this project maybe proposal is more appropriate

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- i. Strategic Priorities Employed
- ii. Near-Term Actions Supported
- iii. Other Actions Supported

- d. Linkages to EPA Puget Sound Measures
 - i. Measures

- e. Linkages to Puget Sound Dashboard Indicators
 - i. Primary Indicator
 - ii. Additional Indicators

d. Proposal elements outputs/deliverables

- a. Describe the specific deliverables that will result from the proposal or elements if the proposals contains more than one element
- b. What specific performance metrics will be collected to assess the effectiveness of the proposal by specific element.

b. d, what data will be collected and how will it be analyzed, what permits will be obtained.

e. Describe the project timeline

e.

d,f. State if the success of this project is dependent upon any other entity, permit, or other funding sources. State project cooperators

2. Costs

- a. List total costs requested for this proposal (use attached Excel budget spreadsheet)
- b. Provide details, justification and clarification for high cost items, salaries, and any sub-contracts or professional services.

**Note: Projects that collect environmental data will be expected to have Quality Assurance Project Plans (QAPPs) approved by EPA QA/QC Region 10 staff, prior to the onset of data collection. Please allot at minimum of 6 weeks for this to occur in your project timeline.

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Budget for Puget Sound Partnership Project Proposals
(Insert new budget form here)
This form is for Question #2a, with details provided in Question #2b
(Please round off costs to whole dollars)

	<u>2009 Budget</u>
<u>Salaries</u>	_____
<u>Fringe Benefits</u>	_____
<u>Travel</u> (<i>explain if unusual; note that all international travel requires prior authorization from EPA</i>)	_____
<u>Supplies</u> (<i>provide detail for high cost, major items</i>)	_____
<u>Communications/Utilities</u>	_____
<u>Equipment/Vehicle Rental</u>	_____
<u>Equipment/Vehicle O&M</u>	_____
<u>Space Costs</u> (<i>project-specific</i>)	_____
<u>Insurance</u> (<i>project-specific</i>)	_____
<u>Sub-contracts</u> (<i>describe purpose, who, what, etc.</i>)	_____
<u>Capitalized Equipment</u> (generally > \$5,000) (describe)	_____
<u>Professional Services</u> (describe purpose, who what, etc...)	_____
Total of Direct Costs:	_____
<u>Indirect Costs</u> (indirect rate times direct base, i.e. exclude capital equipment and subcontracts > \$5,000)	_____

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Grand Total:

Attachment 4

**Project Evaluation Criteria
For FY Puget Sound Partnership Proposals**

(Use FEATS terminology here??)

Funding Eligibility

A. Does this project meet one or more of the eligible criteria listed in Component 2 of the Cooperative Agreement Work Plan?

Project Development

B. Are the project objective(s) clearly defined?

C. Are the methods/procedures adequately defined and sufficiently planned to ensure the success of the project?

Costs

D. Is the cost of the proposal reasonable, accurate, and adequately described?

Relevance

E. Does this project contribute to the restoration and protection of Puget Sound?

Outputs

F. Are the expected environmental outcomes adequately described and reasonable?

G. Are the deliverables appropriate for this project?

Attachment 5

Description of the Evaluation Process

Purpose: Projects will be subjected to an evaluation process. The purpose of this endeavor is to: 1) ensure their appropriateness for this funding source, 2) review the technical aspects of the proposal and ensure the proposed reporting will meet EPA FEATS standards, and 3) work with the project proponents to clarify or improve any questionable aspects of the proposals.

Overview of the Process: A technical group of tribal evaluators will be convened to evaluate the proposals. This group will consist of three NWIFC biologists (probably Terry Wright, Ken Currens and Dietrich Schmitt), and any interested tribal biologists (any interested tribal biologists should contact Terry). This group will read and review the proposals based on the evaluation criteria (Attachment 4). The evaluation criteria are designed to result in either a positive or negative assessment for each question. The group will then meet to discuss the projects, with emphasis on any evaluation questions that were assessed as negative.

Project authors will then be contacted to resolve any project components that were judged to be inadequate.

Projects that meet all of the evaluation criteria will be forwarded to EPA & PSP staff for their review. If necessary, EPA/PSP staff will meet with the tribal evaluators to resolve any differences.